

Bambi Faivre Walters, PC  
PO Box 5743  
Williamsburg, VA 23188

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CENTRAL FAX CENTER  
AUG 29 2004

To: Art Unit 2134 703-872-9306  
ATTN: Christopher J. Brown Fax:

From: Bambi Walters Date: 8/29/2004

Re: (1) Transmittal; Pages: 25  
(2) Fee Transmittal & Credit  
Card Payment Form; and  
(3) Amendment & Response to  
May 27, 2004  
Final Office Action

Serial No. 09/608,184\*

☐ For Review

☐ Please Comment

☐ Please Reply

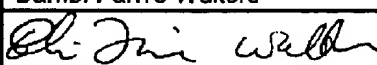
☐ Please Recycle

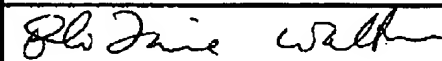
Please feel free to contact me should there be any problems with the transmission or if  
you would like to discuss anything further.

Thank you, Bambi

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AUG 30 2004  
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application Number	09/608,184				
		Filing Date	June 30, 2000				
		First Named Inventor	Samuel N. Zellner				
		Art Unit	2134				
		Examiner Name	Christopher J. Brown				
		Attorney Docket Number	BS99224				
Total Number of Pages in This Submission: 25							
<b>ENCLOSURES</b>							
(Check all that apply)							
<table border="0"> <tr> <td style="vertical-align: top;"> <input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Fee Attached   <input checked="" type="checkbox"/> Amendment/Reply              <input type="checkbox"/> After Final              <input type="checkbox"/> Affidavits/declaration(s)   <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application              <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53       </td><td style="vertical-align: top;"> <input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers   <input type="checkbox"/> Petition   <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation              Change of correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)       </td><td style="vertical-align: top;"> <input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information   <input type="checkbox"/> Status Letter   <input type="checkbox"/> Other Enclosure(s) (please identify below):       </td><td style="vertical-align: top;">         Remarks:       </td></tr> </table>				<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached  <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input type="checkbox"/> Other Enclosure(s) (please identify below):	Remarks:
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<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>							
Name (Print/Type)	Bambi Faivre Walters	Reg. No.:	45,197				
Signature							
Date	August 29, 2004						

<b>CERTIFICATE OF TRANSMISSION / MAILING</b>			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, PO Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Name (Print/Type)	Bambi Faivre Walters	Date	August 29, 2004
Signature			

# FEE TRANSMITTAL for FY 2003

☐ Applicant claims small entity status. See 37 CFR 1.27

## TOTAL AMOUNT OF PAYMENT

\$424.00

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ Other ☐ None  
☐ Deposit Account

Deposit  
Account  
No.

Deposit  
Account  
Name

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1):

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims - 20\*\* = 14 X 18.00 = 252.00  
Independent Claims - 3\*\* = 2 X 86.00 = 172.00  
Multiple Dependent =

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	

\*\*or number previously paid, if greater; For Reissues, see above

SUBTOTAL (2): \$424.00

## SUBMITTED BY:

Name (Print/Type)

Bambi Felvre Walters

Registration No. (Attorney/Agent)

45,197

Complete (if applicable)

Telephone:

(757) 253-5729

Signature

Bambi Felvre Walters

Date

8/29/04

## Complete if Known

Application Number 09/808,184

Filing Date June 30, 2000

First Named Inventor Samuel N. Zelner

Examiner Name Christopher J. Brown

Art Unit 2134

Attorney Docket No. BS99224

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
1061	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	280	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fees under 37 CFR 1.17(q)	
1808	180	1808	180	Submission of Info Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.128(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify):

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3):

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**AUG 29 2004**

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re application of: Samuel N. Zellner      Group Art Unit: 2134  
Application No.: 09/608,184      Examiner: Christopher J. Brown  
Filed: June 30, 2000  
Title: "System and Method for Monitoring Commercial Transactions"

VIA FACSIMILE 703-872-9306

TC2100

Attn: Examiner Christopher J. Brown

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**37 C.F.R. § 1.8 CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on: 8/29/04 (date of transmission).

Bambi F. Walters

Name of Person Faxing This Paper

Bambi F. Walters

Signature

AUGUST 29, 2004

Date of Transmission

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**AMENDMENT AND RESPONSE TO  
MARCH 29, 2004 OFFICE ACTION**

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This Response is filed in reply to the Office Action mailed on March 29, 2004, and it is believed to place the above-identified Application in condition for allowance.

Assignee responds as follows:

08/30/2004 JBALINAH 00000095 09608184

01 FC:1201  
02 FC:1202

172.00 OP  
252.00 OP